ROCKEFELLER
CAPITAL MANAGEMENT

Rockefeller Digital Cybersecurity

Safeguarding client data and accounts is a singular mandate for Rockefeller Digital's state-of-the-art security infrastructure. A highly skilled team led by the Chief Information Security Officer of Rockefeller Capital Management directs end-to-end security for the firm while aligning to three core principles:

1. **Confidentiality** – Protect sensitive information by adopting high-tech solutions in response to an ever-changing threat landscape.
2. **Integrity** – Maintain accurate and reliable data, guarding against unauthorized access, modification or destruction.
3. **Availability** – Continually defend information systems to ensure that clients have secure access however and whenever they need it.

To securely protect the digital platforms used by clients, third parties, advisor teams and other employees, the firm's advanced capabilities include:

- 24x7 threat prevention and monitoring, supported by responsive security enhancements when appropriate
- Multifactor authentication to properly confirm a client's identity at login
- Data-loss prevention and encryption controls that protect data-confidentiality
- Comprehensive security policies and year-round awareness training for firm-wide employees

Multifactor Authentication

Multifactor authentication is one security method that has been broadly embraced to enhance online identity verification. It requires clients to provide two or more identity verification factors each time they log in at Rockefeller Digital.

When clients log in, they can choose to receive a unique six-digit code by text message or through an automated call to their registered phone number.

In addition, when using Rockefeller Digital's mobile application on a phone or tablet, clients may select biometric verification, using fingerprint or facial recognition to complete multifactor authentication. (The client's device must be enabled for biometrics.)

Security Governance

Cybersecurity remains a critical focus for Rockefeller Capital Management leadership. Program strategies are reviewed by the Head of Technology & Operations, other members of the Executive Management Committee and the Board. Rockefeller Capital Management aims to ensure enhanced information security measures are implemented to meet the needs of the firm's growth trajectory, optimizing the latest solutions to safeguard client accounts and information systems.